The Genomic Formation of South and Central Asia

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118 Abstract

119 The genetic formation of Central and South Asian populations has been unclear because of an 120 absence of ancient DNA. To address this gap, we generated genome-wide data from 362 ancient 121 individuals, including the first from eastern Iran, Turan (Uzbekistan, Turkmenistan, and 122 Tajikistan), Bronze Age Kazakhstan, and South Asia. Our data reveal a complex set of genetic 123 sources that ultimately combined to form the ancestry of South Asians today. We document a 124 southward spread of genetic ancestry from the Eurasian Steppe, correlating with the 125 archaeologically known expansion of pastoralist sites from the Steppe to Turan in the Middle 126 Bronze Age (2300-1500 BCE). These Steppe communities mixed genetically with peoples of the 127 Bactria Margiana Archaeological Complex (BMAC) whom they encountered in Turan (primarily 128 descendants of earlier agriculturalists of Iran), but there is no evidence that the main 129 BMAC population contributed genetically to later South Asians. Instead, Steppe communities 130 integrated farther south throughout the 2nd millennium BCE, and we show that they mixed with 131 a more southern population that we document at multiple sites as outlier individuals exhibiting a 132 distinctive mixture of ancestry related to Iranian agriculturalists and South Asian hunter-gathers. 133 We call this group Indus Periphery because they were found at sites in cultural contact with the 134 Indus Valley Civilization (IVC) and along its northern fringe, and also because they were 135 genetically similar to post-IVC groups in the Swat Valley of Pakistan. By co-analyzing ancient 136 DNA and genomic data from diverse present-day South Asians, we show that Indus Periphery-137 related people are the single most important source of ancestry in South Asia—consistent with 138 the idea that the *Indus Periphery* individuals are providing us with the first direct look at the 139 ancestry of peoples of the IVC—and we develop a model for the formation of present-day South 140 Asians in terms of the temporally and geographically proximate sources of Indus Periphery-141 related, Steppe, and local South Asian hunter-gatherer-related ancestry. Our results show how 142 ancestry from the Steppe genetically linked Europe and South Asia in the Bronze Age, and 143 identifies the populations that almost certainly were responsible for spreading Indo-European languages across much of Eurasia. 144 145

One Sentence Summary: Genome wide ancient DNA from 357 individuals from Central and
South Asia sheds new light on the spread of Indo-European languages and parallels between the
genetic history of two sub-continents, Europe and South Asia.

149 Main text

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152

151 Ancient DNA Data and Analysis Strategy

153 We generated whole-genome ancient DNA data from 362 previously unreported ancient 154 individuals and higher quality data from 17 previously reported individuals. Almost all derive 155 from three broad regions: 132 from Iran and the southern part of Central Asia (present-day 156 Turkmenistan, Uzbekistan, and Tajikistan, which we call Turan: "Iran/Turan"), 165 from the 157 western and central Steppe and northern forest zone encompassing present day Kazakhstan and 158 Russia ("Forest Zone/Steppe"), and 65 from northern Pakistan ("South Asia"). Our dataset 159 includes the first published ancient DNA data from 1) Chalcolithic and Bronze Age eastern Iran 160 and Turan (5600-1200 BCE from 12 sites); 2) early ceramic-using hunter-gatherers from the 161 western Siberian forest zone (6200-4000 BCE from 2 sites); 3) Chalcolithic and Bronze Age 162 pastoralists from the Steppe east of the Ural mountains, including the first ancient data from 163 Bronze Age Kazakhstan (4700-1000 BCE from 20 sites); and 4) the first ever ancient DNA from 164 South Asia from Iron Age and historical settlements in the Swat Valley of Pakistan (1200 BCE -165 1 CE from 7 sites) (Fig. 1, Supplementary Materials, Data S1). To generate these data, we prepared samples in dedicated clean rooms, extracted DNA (1, 2), constructed libraries for 166 167 Illumina sequencing (3, 4), and screened them using previously described procedures (5-7). We 168 enriched the libraries for DNA overlapping around 1.24 million single nucleotide polymorphisms 169 (SNPs), sequenced the products on Illumina instruments, and performed quality control (Data 170 S1) (5, 6, 8). We also report 186 new direct radiocarbon dates on human bone (Data S2). After 171 grouping individuals based on archaeological and chronological information and merging with 172 previously reported data, our dataset included 612 ancient individuals that we then co-analyzed 173 with genome-wide data from present-day individuals genotyped at around 600,000 SNPs, 1,789 174 of which were from 246 ethnographically-distinct groups in South Asia (Data S3; 175 Supplementary Materials) (9-11). We restricted analyses to ancient samples covered by at least 176 15,000 SNPs. We use *Italic* font to refer to genetic groupings and normal font to indicate 177 archaeological cultures or sites. 178

We carried out principal component analysis (PCA) by projecting the ancient individuals onto the patterns of genetic variation in present-day Eurasians (**Fig. 1**) (*12, 13*). This revealed three 181 major groupings, closely corresponding to the geographic regions of the Forest Zone/Steppe,

- 182 Iran/Turan and South Asia, a pattern we replicate in ADMIXTURE clustering (14). To test
- 183 formally whether populations differ significantly in their ancestry within regions, we used
- 184 symmetry- f_4 -statistics measuring whether pairs of populations differ in their degree of allele
- 185 sharing to a third population, and admixture- f_3 -statistics to test formally for mixture
- 186 (Supplementary Materials). We tested the fit of mixture models using *qpAdm*, which evaluates
- 187 whether all possible f_4 -statistics relating a set of tested populations to outgroup populations is
- 188 consistent with mixtures of a pre-specified number of sources and if so estimates proportions of
- ancestry (5). We can model almost every population as a mixture of seven deeply divergent
- 190 "distal" ancestry sources (usually closely related to populations for which we have data, but in
- 191 some cases deeply related):
- 192
- "Anatolian agriculturalist-related": represented by 7th millennium BCE western Anatolian
 agriculturalists (6)
- "Western European Hunter-Gatherer (*WHG*)-related": represented by Mesolithic western
 Europeans (5, 10, 15, 16)
- "Iranian agriculturalist-related": represented by 8th millennium BCE pastoralists from the
 Zagros Mountains of Iran (*17, 18*)
- "Eastern European Hunter-Gatherer (*EHG*)-related": represented by hunter-gatherers from
 diverse sites in Eastern Europe (5, 6)
- * "West Siberian Hunter-Gatherer (*West_Siberian_HG*)-related": a newly documented deep
 source of Eurasian ancestry represented here by three samples
- "East Asian-related": represented in this study by Han Chinese
- "Ancient Ancestral South Indian (*AASI*)-related": a hypothesized South Asian Hunter-Gatherer
 lineage related deeply to present-day indigenous Andaman Islanders (*19*)
- 206
- 207 We also used *qpAdm* to identify "proximal" models for each group as mixtures of temporally
- 208 preceding groups. This often identified multiple alternative models that were equally good fits to
- 209 the data. These analyses were nevertheless useful because we could identify patterns that were
- 210 qualitatively consistent across models. The discussion that follows presents an overview of these

analyses, while the Supplementary Materials presents the full details. Table 1 summarizes the
key findings that emerge from our analysis.

213

214 Iran/Turan

215 We analyzed our newly generated data together with previously published data to examine the 216 genetic transformations that accompanied the spread of agriculture eastward from Iran beginning 217 in the 7th millennium BCE (20, 21). Our analysis confirms that early Iranian agriculturalists from the Zagros Mountains harbor a distinctive type of West Eurasian ancestry (17, 18) (Fig. 1), while 218 219 later groups across a broad geographic region were admixed between this type of ancestry and 220 that related to early Anatolian agriculturalists. (In this paper we use the term "agriculturalists" to 221 refer both to crop cultivation and/or herding, and accordingly refer to the people of the Zagros 222 Mountains who kept domesticated goats as agriculturalists (17, 22, 23).) We show that there was 223 a west-to-east cline of decreasing Anatolian agriculturalist-related admixture ranging from $\sim 70\%$ 224 in Chalcolithic Anatolia to ~33% in eastern Iran, to ~3% in far eastern Turan (Fig. 1; 225 **Supplementary Materials**). The timing of the establishment of this cline is consistent with the dates of spread of wheat and barley agriculture from west to east (in the 7th to 6th millennia 226 227 BCE), suggesting the possibility that individuals of Anatolian ancestry may have contributed to 228 spreading agriculturalist economies not only westward to Europe, but also eastward to Iran (21, 229 24, 25). An increase of Anatolian agriculturalist-related ancestry was also proposed for the Pre-230 Pottery agriculturalists from the Levant in comparison to the earlier Natufian hunter-gatherers 231 (17), further supporting this hypothesis. However, without data on the distribution of 232 Anatolian/Iranian-agriculturalist ancestry in early agriculturalists in Mesopotamia, it is difficult 233 to determine when the cline was established. In the far eastern part of this cline (eastern Iran and 234 Turan) we also detect admixture related to West Siberian HG, proving that North Eurasian 235 admixture impacted Turan well before the spread of Yamnaya-related Steppe pastoralists 236 (Steppe EMBA).

237

From Bronze Age Turan, we report 69 ancient individuals (2300-1400 BCE) from four urban

sites of the Bactria Margiana Archaeological Complex (BMAC) and its immediate successors.

240 The great majority of individuals fall in a genetic cluster that is similar, albeit not identical, to the

241 preceding groups in Turan in harboring a large proportion of early Iranian agriculturalist-related

242 ancestry ($\sim 60\%$ in the *BMAC*) with smaller components of Anatolian agriculturalist-related 243 ancestry ($\sim 21\%$) and West Siberian HG-related ancestry ($\sim 13\%$) suggesting that the main 244 *BMAC* cluster coalesced from preceding pre-urban populations in Turan (which in turn likely 245 derived from earlier eastward spreads from Iran). The absence in the *BMAC* cluster of the 246 Steppe EMBA ancestry that is ubiquitous in South Asia today—along with *qpAdm* analyses that 247 rule out *BMAC* as a substantial source of ancestry in South Asia (Fig. 3A)—suggests that while 248 the BMAC was affected by the same demographic forces that later impacted South Asia (the 249 southward movement of Middle to Late Bronze Age Steppe pastoralists described in the next 250 section), it was also by members of these groups who hardly mixed with *BMAC* people 251 and instead mixed with peoples further south. In fact, the data suggest that instead of the main 252 *BMAC* population having a demographic impact on South Asia, there was a larger effect of gene 253 flow in the reverse direction, as the main *BMAC* genetic cluster is slightly different from the 254 preceding Turan populations in harboring ~5% of their ancestry from the AASI. 255 256 We also observe outlier individuals at multiple sites, revealing interactions among populations 257 that would be difficult to appreciate without the large sample sizes reported here. 258 259 First, around ~2300 BCE in Turan, we observe two outliers at the BMAC site of Gonur with 260 West Siberian HG-related ancestry of a type that we observe at multiple sites in Kazakhstan 261 over the preceding and succeeding millennia. The most plausible explanation is that this ancestry 262 is that of indigenous populations associated with the Kelteminar culture, the native hunter-263 gatherers of the region who covered a vast area of Central Asia before the BMAC (26). Future

ancient DNA data from Kelteminar contexts will make it possible to determine whether it is

indeed the case that the genetic ancestry of Kelteminar people was similar to that of

- 266 *West_Siberia_HG*. Importantly, in the 3rd millenium BCE we do not find any individuals with
- ancestry derived from Yamnaya-related Steppe pastoralists in Turan. Thus, *Steppe_EMBA*
- ancestry was not yet widespread across the region.

269

270 Second, between 2100-1700 BCE, we observe BMAC outliers from three sites with

271 *Steppe_EMBA* ancestry in the admixed form typically carried by the later Middle to Late Bronze

Age Steppe groups (*Steppe_MLBA*). This documents a southward movement of Steppe ancestry

through this region that only began to have a major impact around the turn of the 2nd millennium
BCE.

275

276 Third, between 3100-2200 BCE we observe an outlier at the BMAC site of Gonur, as well as two 277 outliers from the eastern Iranian site of Shahr-i-Sokhta, all with an ancestry profile similar to 41 278 ancient individuals from northern Pakistan who lived approximately a millennium later in the 279 isolated Swat region of the northern Indus Valley (1200-800 BCE). These individuals had 280 between 14-42% of their ancestry related to the AASI and the rest related to early Iranian 281 agriculturalists and West Siberian HG. Like contemporary and earlier samples from Iran/Turan 282 we find no evidence of Steppe-pastoralist-related ancestry in these samples. In contrast to all 283 other Iran/Turan samples, we find that these individuals also had negligible Anatolian 284 agriculturalist-related admixture, suggesting that they might be migrants from a population 285 further east along the cline of decreasing Anatolian agriculturalist ancestry. While we do not 286 have access to any DNA directly sampled from the Indus Valley Civilization (IVC), based on (a) 287 archaeological evidence of material culture exchange between the IVC and both BMAC to its 288 north and Shahr-i-Sokhta to its east (27), (b) the similarity of these outlier individuals to post-289 IVC Swat Valley individuals described in the next section (27), (c) the presence of substantial 290 AASI admixture in these samples suggesting that they are migrants from South Asia, and (d) the 291 fact that these individuals fit as ancestral populations for present-day Indian groups in *qpAdm* 292 modeling, we hypothesize that these outliers were recent migrants from the IVC. Without ancient 293 DNA from individuals buried in IVC cultural contexts, we cannot rule out the possibility that the 294 group represented by these outlier individuals, which we call Indus Periphery, was limited to the 295 northern fringe and not representative of the ancestry of the entire Indus Valley Civilization 296 population. In fact, it was certainly the case that the peoples of the Indus Valley were genetically 297 heterogeneous as we observe one of the Indus Periphery individuals having ~42% AASI 298 ancestry and the other two individuals having ~14-18% AASI ancestry (but always mixes of the 299 same two proximal sources of AASI and Iranian agriculturalist-related ancestry). Nevertheless, 300 these results show that Indus Periphery were part of an important ancestry cline in the wider Indus region in the 3rd millennium and early 2nd millennium BCE. As we show in what follows, 301 302 peoples related to this group had a pivotal role in the formation of subsequent populations in 303 South Asia.

304

Using a newly developed approach for estimating dates of admixture in ancient genomes (an adaptation of a previous method to measure ancestry covariance among pairs of neighboring positions in the genome; **Supplementary Materials**), we estimate that the time of admixture between Iranian agriculturalist-related ancestry and AASI ancestry in the three *Indus_Periphery* samples was 53 ± 15 generations ago on average, corresponding to a 95% confidence interval of about 4700-3000 BCE assuming 28 years per generation (*28*). This places a minimum date on the first contact between these two types of ancestries.

312

313 The Steppe

314 Three individuals from the West Siberian forest zone with direct dates ranging from 6200 BCE 315 to 4000 BCE play an important role in this study as they are representatives of a never-before-316 reported mixture of ancestry that we call West Siberian HG: ~30% derived from EHG, ~50% from Ancestral North Eurasians (defined as being related deeply to 22000-15000 BCE Siberians 317 318 (29, 30)), and $\sim 20\%$ related to present-day East Asians. This ancestry type also existed in the 319 southern Steppe and in Turan, as it formed about 80% of the ancestry of an early 3rd millennium 320 BCE agro-pastoralist from Dali, Kazakhstan, and also contributed to multiple outlier individuals 321 from 2nd millennium sites in Kazakhstan and Turan (Fig. 2).

322

323 Using the West Siberian HG individuals as a reference population along with other pre-324 Chalcolithic groups that have been previously reported in the ancient DNA literature, we 325 document the presence of a genetically relatively homogeneous population spread across a vast 326 region of the eastern European and trans-Ural Steppe between 2000-1400 BCE (Steppe MLBA) 327 (17). Many of the samples from this group are individuals buried in association with artifacts of 328 the Corded Ware, Srubnaya, Petrovka, Sintashta and Andronovo complexes, all of which 329 harbored a mixture of Steppe EMBA ancestry and ancestry from European Middle Neolithic 330 agriculturalists (*Europe MN*). This is consistent with previous findings showing that following 331 westward movement of eastern European populations and mixture with local European 332 agriculturalists, there was an eastward reflux back beyond the Urals (6, 16, 31). Our new dataset 333 enhances our understanding of the *Steppe MLBA* cluster by including many sites in present-day 334 Kazakhstan and as far east as the Minusinsk Basin of Russia—and in doing so allows us to

335	appreciate previously undetected substructure. All previously reported samples fall into a
336	subcluster we call <i>Steppe_MLBA_West</i> that harbors ~26% <i>Europe_MN</i> ancestry and ~74%
337	Steppe_EMBA ancestry. With our newly reported data we now also detect a previously
338	unappreciated subcluster, Steppe_MLBA_East, which is significantly differentiated (p=7×10 ⁻⁶
339	from <i>qpAdm</i>), with ~8% <i>West_Siberian_HG</i> -related ancestry and proportionally less of the other
340	ancestry components, suggesting that people carrying Steppe_MLBA_West ancestry admixed
341	with West_Siberian_HG-related peoples as they spread further east.
342	
343	As in Iran/Turan, the outlier individuals provide key additional information.
344	
345	First, our analysis of 50 newly reported individuals from the Kamennyi Ambar V cemetery from
346	the Sintashta culture reveals three groups of outliers, in addition to the main cluster of 40
347	individuals. These outliers have elevated proportions of Steppe_EMBA, West_Siberian_HG or
348	East Asian-related ancestry (and direct dates that are contemporaneous with the other
349	individuals), thereby showing that this fortified site harbored people of diverse ancestries living
350	side-by-side.
351	
352	Second, samples from three sites from the southern and eastern end of the Steppe dated to 1600-
353	1500 BCE (Dashti-kozy, Taldysay and Kyzlbulak) show evidence of significant admixture from
354	Iranian agriculturalist-related populations, demonstrating northward gene flow from Turan into
355	the Steppe at the same time as there was southward movement of Steppe_MLBA ancestry
356	through Turan and into South Asia. These findings are consistent with evidence of a high degree

of human mobility both to the north and south along the Inner Asian Mountain Corridor (*32, 33*).

359 Third, we observe samples from multiple sites dated to 1700-1500 BCE (Maitan, Kairan,

360 Oy_Dzhaylau and Zevakinsikiy) that derive up to ~25% of their ancestry from a source related to

361 present-day East Asians and the remainder from *Steppe_MLBA*. A similar ancestry profile

362 became widespread in the region by the Late Bronze Age, as documented by our time transect

363 from Zevakinsikiy and samples from many sites dating to 1500-1000 BCE, and was ubiquitous

364 by the Scytho-Sarmatian period in the Iron Age (34). This observation decreases the probability

365 that populations in the 1st millennium BCE and 1st millennium CE—including Scythians,

Kushans, and Huns, sometimes suggested as sources for the Steppe ancestry influences in South Asia today (17)—contributed to the majority of South Asians, which have negligible East Asian ancestry in our analysis. It is possible that there were unsampled groups in Central Asia with negligible East Asian admixture that could have migrated later to South Asia. However, at least some (possibly all) of the Steppe pastoralist ancestry in South Asia owes its origins to southward pulses in the 2^{nd} millennium BCE, as indeed we prove directly through our observation of this ancestry in the Swat Iron Age individuals dating to ~1000 BCE (discussed further below).

374 South Asia

375 Previous work has shown that the Indian Cline—a gradient of different proportions of West

376 Eurasian related ancestry in South Asia—can be well modeled as having arisen from a mixture

of two statistically reconstructed ancestral populations (the ANI and the ASI), which mixed

378 mostly after 2000 BCE (35, 36). Ancient DNA analysis has furthermore revealed that the

379 populations along the Indian Cline actually descend more deeply in time from at least three

ancestral populations (17), with ancestry from groups related to early Iranian agriculturalists,

- 381 *Steppe_EMBA*, and *Onge*.
- 382

383 To shed light on the mixture events that transformed this minimum of three ancestral populations 384 into two (the ANI and ASI), we used *qpAdm* to search for triples of source populations—the 385 AASI, all sampled ancient Iran/Turan-related groups, and all sampled ancient Steppe groups-386 that could fit as sources for South Asians. As South Asian test populations we used an Indian 387 Cline group with high ANI ancestry (*Punjabi.DG*), one with high ASI ancestry (*Mala.DG*), early 388 Iron Age Swat Valley samples (Swat Protohistoric Grave Type - SPGT), and Early Historic Swat 389 Valley samples (Butkara IA). Fig. 3A shows that the only models that fit all four test South 390 Asians groups are combinations that involve the AASI, Indus Periphery and Steppe MLBA (in 391 the analyses that follow, we therefore pooled the *Steppe MLBA*). The evidence that the 392 Steppe MLBA cluster is a plausible source for the Steppe ancestry in South Asia is also 393 supported by Y chromosome evidence, as haplogroup R1a which is of the Z93 subtype common 394 in South Asia today (37, 38) was of high frequency in Steppe MLBA (68%) (16), but rare in 395 Steppe EMBA (absent in our data).

396

397 To obtain a richer understanding of the ancestry of the entire Indian Cline, we took advantage of 398 previously published genome-wide data from 246 ethnographically diverse groups from South 399 Asia (11), from which we sub-selected 140 groups that fall on a clear gradient in PCA to 400 represent the Indian Cline (the other groups either fall off the cline due to additional African or 401 East Asian-related ancestry or had small sample size or heterogeneous ancestry). The per-group 402 *qpAdm* estimates for the proportions of ancestry from these three sources are statistically noisy. 403 We therefore developed new methodology that allows us to jointly fit the data from all Indian 404 Cline groups within a hierarchical model. The analysis confirms that the great majority of all 405 groups on the Indian Cline can be jointly modeled as a mixture of two populations, and the 406 analysis also produces an estimate of the functional relationship between the ancestry 407 components. Setting *Steppe MLBA* to its smallest possible proportion of zero to estimate the 408 minimum fraction of *Indus Periphery* ancestry that could have existed in the ASI, we obtain 409 \sim 39%. Setting AASI to its smallest possible proportion of zero to estimate the maximal fraction 410 of *Indus Periphery* ancestry that could have existed in the ANI, we obtain \sim 72%. In fact, we find 411 four tribal groups from southern India (Pallivar, Ulladan, Malavan, and Adivan) with close to 412 the maximum mathematically allowed proportion of Indus Periphery-related ancestry, and we 413 find a population in northern Pakistan (Kalash) with close to the minimum. Thus, nearly 414 unmixed descendants of the ASI and ANI exist as isolated groups in South Asia today.

415

416 We built an admixture graph using *qpGraph* co-modeling *Palliyar* (as a representative of the 417 ASI) and Juang (an Austroasiatic speaking group in India with low West Eurasian-relatedness), 418 and show that it fits when the ASI have ~27% Iranian agriculturalist-related ancestry and the 419 Juang also harbor ancestry from an AASI population without Iranian admixture (Fig. 3). This 420 model is also notable in showing that early Iranian agriculturalists fit without AASI admixture, 421 and thus the patterns we observe are driven by gene flow into South Asia and not the reverse 422 (Fig. 3; Supplementary Materials). The fitted admixture graph also reveals that the deep 423 ancestry of the indigenous hunter-gather population of India represents an anciently divergent 424 branch of Asian human variation that split off around the same time that East Asian, Onge and 425 Australian aboriginal ancestors separated from each other. This finding is consistent with a 426 model in which essentially all the ancestry of present-day eastern and southern Asians (prior to

West Eurasian-related admixture) derives from a single eastward spread, which gave rise in a
short span of time to the lineages leading to *AASI*, East Asians, *Onge*, and *Australians (19)*.

429

430 Using admixture linkage disequilibrium, we estimate a date of 107 ± 11 generations ago for the 431 Iranian agriculturalist and AASI-related admixture in the Palliyar, corresponding to a 95% 432 confidence interval of 1700-400 BCE assuming 28 years per generation (28). This date is 433 consistent with a previous estimate of 110 ± 12 generations ago for the Kalash (39). These 434 results suggest that the ASI and ANI were both largely unformed at the beginning of the 2nd 435 millennium BCE, and imply that the ASI may have formed in the course of the spread of West 436 Asian domesticates into peninsular India beginning around 3000 BCE (where they were 437 combined with local domesticates to form the basis of the early agriculturalist economy of South 438 India (40), or alternatively in association with eastward spread of material culture from the 439 Indus Valley after the IVC declined (41). Further evidence for a Bronze Age formation of the 440 ASI comes from our analysis of Austroasiatic-speaking groups in India such as Juang, who have 441 a higher ratio of AASI-to-Iranian agriculturalist-related ancestry than the ASI (Fig. 3. 442 **Supplementary Materials**). Austroasiatic speakers likely descend from populations that arrived in South Asia in the 3rd millennium BCE (based on hill cultivation systems associated with the 443 444 spread of Austroasiatic languages (20)), and our genetic results show that when Austroasiatic 445 speakers arrived they mixed with groups with elevated ratios of AASI- to Iranian-agriculturalist-446 related ancestry than are found in the ASI, showing that the ASI had not yet overspread 447 peninsular India.

448

449 Finally, we examined our Swat Valley time transect from 1200 BCE to 1 CE. While the earliest 450 group of samples (SPGT) is genetically very similar to the Indus Periphery samples from the 451 sites of Gonur and Shahr-i-Sokhta, they also differ significantly in harboring Steppe MLBA 452 ancestry ($\sim 22\%$). This provides direct evidence for *Steppe MLBA* ancestry being integrated into 453 South Asian groups in the 2nd millennium BCE, and is also consistent with the evidence of 454 southward expansions of Steppe MLBA groups through Turan at this time via outliers from the 455 main *BMAC* cluster from 2000-1500 BCE. Later samples from the Swat time transect from the 456 1st millennium BCE had higher proportions of Steppe and AASI derived ancestry more similar to

457 that found on the Indian Cline, showing that there was an increasing percolation of Steppe

- 458 derived ancestry into the region and additional admixture with the ASI through time.
- 459

460 Implications for Archaeology and Linguistics

461 Our evidence that a population with both Iranian agriculturalist and South Asian hunter gatherer 462 ancestry (*Indus Periphery*) was established in the 3rd millennium BCE—and that its Iranian 463 agriculturalist-related and AASI ancestry sources mixed at an average time of around 4700-3000 464 BCE—shows that this type of Iranian agriculturalist-related ancestry must have reached the 465 Indus Valley by the 4th millennium BCE. However, it is very possible that Iranian agriculturalist-466 related ancestry was widespread in South Asia even earlier, as wheat and barley agriculture as 467 well as goat and sheep herding spread into South Asia after the 7th millennium BCE, as attested 468 at sites such as Mehrgarh in the hills surrounding the Indus Valley (20, 21), and these 469 domesticates could have been carried by movements of people. Regardless of when these 470 agricultural species arrived, the genetic data show that *Indus Periphery*-related ancestry 471 contributed in large proportions to both the ANI and ASI, and that these two groups both formed in the 2nd millennium BCE, overlapping the decline of the IVC and major changes in settlement 472 473 patterns in the northern part of the Indian subcontinent (41). A parsimonious hypothesis is that as 474 Steppe MLBA groups moved south and mixed with Indus Periphery-related groups at the end of 475 the IVC to form the ANI, other Indus Periphery-related groups moved further south and east to 476 mix with AASI groups in peninsular India to form the ASI. This is consistent with suggestions 477 that the spread of the IVC was responsible for dispersing Dravidian languages (42-44), although 478 scenarios in which Dravidian languages derive from pre-Indus languages of peninsular India are 479 also entirely plausible as ASI ancestry is mostly derived from the AASI. 480

481 Our results also shed light on the question of the origins of the subset of Indo-European

482 languages spoken in India and Europe (45). It is striking that the great majority of Indo-European

483 speakers today living in both Europe and South Asia harbor large fractions of ancestry related to

484 Yamnaya Steppe pastoralists (corresponding genetically to the Steppe_EMBA cluster),

485 suggesting that "Late Proto-Indo-European"—the language ancestral to all modern Indo-

- 486 European languages—was the language of the Yamnaya (46). While ancient DNA studies have
- 487 documented westward movements of peoples from the Steppe that plausibly spread this ancestry

488 to Europe (5, 31), there has not been ancient DNA evidence of the chain of transmission to South

489 Asia. Our documentation of a large-scale genetic pressure from *Steppe MLBA* groups in the 2nd

490 millennium BCE provides a prime candidate, a finding that is consistent with archaeological

491 evidence of connections between material culture in the Kazakh middle-to-late Bronze Age

492 Steppe and early Vedic culture in India (46).

493

494 Our analysis also provides an entirely new line of evidence for a linkage between Steppe 495 ancestry and Indo-European culture. When we used *qpAdm* to test if a mixture of ANI and ASI is 496 a fit to the data for all 140 Indian Cline groups, we found 10 groups with poor fits and a 497 significantly elevated ratio of Steppe MLBA- to Indus Periphery-related ancestry compared to 498 the expectation for the model ($Z \ge 3$). We found the strongest two signals in *Brahmin Tiwari* 499 $(p=2\times10^{-5})$ and Brahmin UP $(p=4\times10^{-5})$, and more generally there was a striking enrichment of 500 a $Z \ge 3$ signals in groups of traditionally priestly status in northern India (57% of groups with $Z \ge 3$ 501 were Brahmins or Bhumihars even though these groups comprised only 11% of the 74 groups we 502 analyzed in northern India). Although the enrichment for Steppe ancestry is not found in the 503 southern Indian groups, the Steppe enrichment in the northern groups is striking as *Brahmins* and 504 Bhumihars are among the traditional custodians of texts written in early Sanskrit. A possible 505 explanation is that the influx of Steppe MLBA ancestry into South Asia in the mid-2nd 506 millennium BCE created a meta-population of groups with different proportions of Steppe 507 ancestry, with ones having relatively more Steppe ancestry having a central role in spreading 508 early Vedic culture. Due to strong endogamy in South Asia—which has kept some groups 509 isolated from their neighbors for thousands of years (35)—some of this substructure within 510 Indian population still persists.

511

We finally highlight a remarkable parallel between the prehistory of two sub-continents of Eurasia: South Asia and Europe. In both regions, West Asian agricultural technology spread from an origin in the Near East in the 7th and 6th millennia BCE (**Fig. 4**). In South Asia this occurred via the Iranian plateau, and in Europe via western Anatolia, with the technological spreads mediated in both cases by movements of people. An admixed population was then formed by the mixing of incoming agriculturalists and resident hunter-gatherers—in South Asia eventually giving rise to the *Indus Periphery* and *ASI* and in Europe the Middle Neolithic

- 519 genetic cluster *Europe MN*. In both Europe and South Asia, populations related to the *Yamnaya*
- 520 Steppe pastoralists arrived after this agriculturalist and hunter-gatherer admixture took place,
- 521 interacting with local populations to produce mixed groups, which then mixed further with
- 522 already resident agriculturalist populations to produce genetic groupings such as those found
- 523 associated with Corded Ware and central European Bell Beaker artifacts in much of Europe, and
- 524 the *ANI* genetic cluster in South Asia. These mixed groups then mixed further to produce the
- 525 major gradients of ancestry in both regions. Future studies of populations from South Asia and
- 526 the linguistically related Iranian world will extend and add nuance to the model presented here.

527 Figure Legends

528

529 Fig. 1 Overview of ancient DNA data. (A) Number of newly reported samples passing our 530 analysis thresholds and their date range is shown by site. (B) Locations, color-coded by analysis 531 grouping. (C) Projections of ancient samples onto PCA axes computed using present-day 532 Eurasians. (D) ADMIXTURE analysis, with components maximized in West Siberian HG, 533 Anatolian agriculturalists, Iranian agriculturalists, indigenous South Asians and WHG in blue, 534 orange, teal, red and green, respectively. (E) Y-chromosome haplogroups. N, Neolithic; C, 535 Chalcolithic; BA, Bronze Age; IA, Iron Age; H, Historic; E/M/L, Early/Middle/Late; o, outlier. 536 537 Fig. 2 Modeling results. (A) Admixture events originating from 7 "Distal" populations leading 538 to the formation of the modern Indian cloud shown geographically. Clines or 2-way mixtures of 539 ancestry are shown in rectangles, and clouds (3-way mixtures) are shown in ellipses. (B) A schematic model of events originating from 7 "Distal" populations leading to the formation of 540

541 the modern Indian cline, shown chronologically. (C) Admixture proportions as estimated

- 542 using *qpAdm* for populations reflected in A and B.
- 543

544 Fig. 3 The Genomic Origins of Indians. (A) We used *qpAdm* to model four groups that are 545 representative of major sources of South Asian ancestry over the last few thousand years 546 (Punjabi.DG, Mala.DG, SPGT, and Butkara IA) as mixtures of Onge, an Iran/Turan-related 547 population, and a Steppe-related group, and report the minimum p-value (highlighting cases at 548 p>0.01). The only working models involve a combination of *Indus Periphery* and a 549 Steppe MLBA group (note that the Steppe MLBA West group includes a subset 550 Sintashta MLBA and Srubnaya). (B) For all 140 Indian Cline groups, we give Maximum A 551 *Posteriori* fits for this model. Significant outliers (|Z|>2) are shown, and include a cluster of 552 Brahmins (filled circles) and Bhumihars (filled squares) with excess Steppe pastoralist-related 553 ancestry compared to others with similar West Eurasian ancestry proportion. (C) Admixture 554 graph fit supports Iranian agriculturalist-related admixture into South Asia but no gives evidence 555 of AASI-related admixture into ancient Iran; dotted lines show admixture events. 556

557 Fig. 4 A Tale of Two Subcontinents. The prehistory of South Asia and Europe are parallel in

- both being impacted by two successive spreads, the first from the Near East after 7000 BCE
- 559 bringing agriculturalists who mixed with local hunter-gatherers, and the second from the Steppe
- after 3000 BCE bringing people who spoke Indo-European languages and who mixed with those
- they encountered during their migratory movement. Mixtures of these mixed populations then
- 562 produced the rough clines of ancestry present in both South Asia and in Europe today (albeit
- 563 with more variable proportions of local hunter-gatherer-related ancestry in Europe than in India),
- which are (imperfectly) correlated to geography. The plot shows in contour lines the time of the
- 565 expansion of Near Eastern agriculture. Human movements and mixtures, which also plausibly
- 566 contributed to the spread of languages, are shown with arrows.

567 Table 1 Summary of Key Findings

Iran/Turan

- 1. There was a west-to-east gradient of ancestry across Eurasia in the Chalcolithic and Bronze Ages, with more Anatolian agriculturalist-related ancestry in the west and more *West_Siberian_HG* or *AASI*-related ancestry in the east, all superimposed on primary ancestry related to early Zagros agriculturalists. The establishment of the Anatolian ancestry gradient corresponds to the spread of crop-based agriculture across this region, raising the possibility that people of Anatolian ancestry spread this technology east just as they helped spread it west into Europe. However, Anatolian agriculturalist-related ancestry is absent in the *Indus_Periphery* samples, showing that if such people were instrumental in bringing crop farming eastward to Iran, diffusion of ideas brought it further east to South Asia.
- 2. The primary population of the BMAC was largely derived from preceding local Chalcolithic peoples and had little if any Steppe pastoralist ancestry of the type that is ubiquitous in South Asia today. Instead of being a source for South Asia, the *BMAC* received admixture from South Asia.
- 3. Outlier analysis shows no evidence of Steppe pastoralist ancestry in groups surrounding BMAC sites prior to 2100 BCE, but suggests that between 2100-1700 BCE, the BMAC communities were surrounded by peoples carrying such ancestry.
- 4. We document a distinctive ancestry type—58%-86% Iranian agriculturalist-related ancestry with little Anatolian agriculturalist-related admixture, and 14%-42% *AASI* ancestry—that was present at two sites known to be in close cultural contact with the Indus Valley Culture (IVC). Combined with similar ancestry about a millennium later in the post-IVC Swat Valley, this documents an *Indus_Periphery* population during the flourishing of the IVC, which we show formed by admixture 4700-3000 BCE.

The Steppe

- 1. In the Kazakh Steppe and Minusinsk Basin during the Middle to Late Bronze Age, ancestry typical of pastoralists in the western Steppe (*Steppe_MLBA_West*) admixed with ancestry related to earlier *West_Siberian_HG*-related groups to form a distinctive *Steppe_MLBA_East* cluster.
- 2. Outlier analysis shows that by 1600 BCE in the Middle to Late Bronze Age of the Kazakh Steppe, there were numerous individuals with admixture from Turan, providing genetic evidence of northward movement into the Steppe in this period.
- 3. By 1500 BCE, there were numerous individuals in the Kazakh Steppe with East Asian-related admixture, the same type of ancestry that was widespread by the Scythian period (*34*). This ancestry is hardly present in the two primary ancestral populations of South Asia—*ANI* and *ASI*—suggesting that Steppe ancestry widespread in South Asia derived from earlier southward movements.

South Asia

- 1. After exploring a wide range of models of present-day and ancient South Asia, we identify a unique class of models that fits geographically and temporally South Asians: a mixture of *AASI*, *Indus_Periphery*, and *Steppe_MLBA*. We reject *BMAC* as a primary source of ancestry in South Asians.
- 2. A population of which the *Indus_Periphery* samples were a part played a pivotal role in the formation of the two proximal sources of ancestry in South Asia, the *ANI* and *ASI*. Both ends of the Indian Cline had major components of *Indus_Periphery* admixture: ~39% for the *ASI* and ~72% for the *ANI*. Today there are groups in South Asia with very similar ancestry to the *ASI* and *ANI*.
- 3. Much of the formation of both the *ASI* and *ANI* occurred in the 2nd millennium BCE. Thus, the events that formed both the *ASI* and *ANI* overlapped the decline of the IVC.
- 4. The *ASI* were not a clade with the earlier hunter-gatherer populations of South Asia (*AASI*), but harbored significant amounts of ancestry related to early Iranian agriculturalists, likely transmitted though the IVC.







0.0 0.1

0.2

0.3

0.4

0.5

Ancestry proportions

0.6

0.7

0.8

0.9

1.0

Fig. 3 The genomic origin of Indians **A**

Fig. 4 A Tale of Two Subcontinents



1 2

Ancient DNA Laboratory Work We prepared powder from human skeletal remains either at field
sites using a method for extracting petrous bone powder by drilling directly from the cranial base
(47), or in dedicated clean rooms at Harvard Medical School, University College Dublin, or the
Max Planck Institute for Evolutionary Anthropology in Leipzig Germany.

7

8 All the molecular work except for that of a single sample (Darra-i-Kur) was carried out at Harvard 9 Medical School (HMS). At HMS, we extracted DNA using a method that is optimized to retain 10 small DNA fragments (1, 2). We converted the DNA into a form that could be sequenced using a 11 double-stranded library preparation protocol, usually pre-treating with the enzyme Uracil-DNA 12 Glycosylase (UDG) to reduce the characteristic cytosine-to-thymine errors in ancient DNA (4). For 13 some libraries, we substituted the MinElute columns used for cleaning up reactions with magnetic 14 beads, and the MinElute column-based PCR cleanup at the end of library preparation with SPRI 15 beads (48, 49). We enriched the libraries both for sequences overlapping mitochondrial DNA (50), 16 and for sequences overlapping about 1.24 million nuclear targets after two rounds of enrichment (5, 17 6, 8). We sequenced the enriched products on an Illumina NextSeq500 using v.2 150 cycle kits for 18 2×76 cycles and 2×7 cycles, and sequenced up to the point that the expected number of new SNPs 19 covered per 100 additional read pairs sequenced was approximately less than 1.

20

21 To analyze the data computationally, we separated read pairs into individuals based on searching 22 for the expected two indices and two barcodes, allowing up to one mismatch from the expected 23 sequence in each case. We removed adapters and merged together sequences requiring a 15 base 24 pair overlap (allowing up to one mismatch), using a modified version of Seqprep 25 (https://github.com/jstjohn/SeqPrep), which takes the highest quality base in the merged regions. 26 We mapped the resulting single-ended sequences were mapped to the GRCh37 human reference 27 (from the 1000 Genomes project) using the samse command of the Burrows-Wheeler Aligner tool 28 (BWA) (version 0.6.1) (51). We trimmed two nucleotides from the end of each sequence, and then 29 randomly selected a single sequence at each site covered by at least one sequence in each 30 individual to represent their genotype at that position ("pseudo-haploid" genotyping). For each 31 sample we generated "pseudo-haploid" calls at the 1.24 million target sites, selecting sequences 32 that have a minimum mapping quality of MAPQ ≥ 10 , restricting to nucleotides with a minimum 33 base quality of 20, and trimming 2 base pairs from each end of the reads.

34

bioRxiv preprint doi: https://doi.org/10.1101/292581; this version posted March 31, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under For Darra-i-Kur, we prepared a single-stranded DNA library (LS082) at the Max-Planck-Institute 35 36 for Evolutionary Anthropology (MPI-EVA) in Leipzig, Germany, as part of a previous project (52). 37 The previous study only analyzed mitochondrial DNA, and for the current study, the library was 38 enriched for molecules overlapping target the same panel of 1.24 million nuclear targets using two rounds of hybridization capture (5, 6, 8). We sequenced the enriched libraries on 2 lanes of an 39 40 Illumina HiSeq2500 platform in a double index configuration (2x76 cycles)(53), and we called 41 sites using *FreeIbis* (54). We merged overlapping paired-end and trimmed using *leeHom* (55). We 42 used BWA to align the captured data to the human reference genome (GRCh37 from the 1000 43 Genomes project) (51). Only sequences showing a perfect match to the expected index combination 44 were retained for downstream analyses. 45 We assessed evidence for ancient DNA authenticity by measuring the rate of damage in the first 46 47 nucleotide (flagging individuals as potentially contaminated if they had a less than 3% cytosine to 48 thymine substitution rate in the first nucleotide for a UDG-treated library and less than 10% 49 substitution rate for a non-UDG-treated library). We used *contamix* to determine evidence of 50 contamination based on polymorphism in mitochondrial DNA (56), and ANGSD to determine 51 evidence of contamination based on polymorphism on the X chromosome in males (57). 52

53 **Principal component analysis (PCA)** We carried out PCA using the *smartpca* package of 54 EIGENSOFT 7.2.1 (13). We used default parameters and added two options (lsqproject:YES and 55 numoutlieriter:0 options) in order to project our ancient samples onto the PCA space. We used two 56 basis sets for the projection: the first based on 1,340 present-day Eurasians genotyped on the 57 Affymetrix Human Origins array, and the second based on a subset of 991 present-day West 58 Eurasians (5, 10, 58). These projections are shown repeatedly in the **Supplementary Materials**, 59 and the whole-Eurasian projection is shown in Fig. 1. As part of this analysis, we also computed 60 the F_{ST} between groups using the parameters inbreed:YES and fstonly:YES.

61

ADMIXTURE clustering analysis Using PLINK2 (*59*), we first pruned our dataset using the -geno 0.7 option to ensure that we only performed our analysis on sites that had at least 70% of samples with a called genotype. We then ran ADMIXTURE (*14*) with 10 replicates, reporting the replicate with the highest likelihood. We show results for K=6 in Fig. 1, as we found in practice that this provides the most resolution for disambiguating the sources of pre-Chalcolithic ancestry in our newly reported samples.

68

bioRxiv preprint doi: https://doi.org/10.1101/292581; this version posted March 31, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under **f-statistics** We used the qp3pop and $apDstat packages in ADMIX TOOLS to compute <math>f_3$ -statistics 69 70 and f_4 -statistics. We used the inbreed: YES parameter to compute f_3 -statistics as a test for admixture 71 with an ancient population as a target, with all published and newly reported ancient genomes as 72 sources. Using the f4Mode: YES parameter in *qpDstat*, we also computed two sets of f₄-symmetry 73 statistics to evaluate if pairs of populations are consistent with forming a clade relative to a 74 comparison population. The first is a statistic where we compare all possible pairs of newly 75 reported ancient groups (*Reported1* and *Reported2*) to a panel of *Test* populations that encompass 76 diverse pre-Chalcolithic and more widespread genetic variation (Test is one of 77 Iran Ganj Dareh Neolithic, Karelia HG, Han, Onge, LBK EN, AfontovaGora3, 78 Ukraine Mesolithic). Thus, we compute a statistic of the form f_4 (Reported1, Reported2; Test, 79 *Mbuti* African outgroup). The second is a comparison of each newly reported group in turn against 80 all possible pairs of *Test* populations, using statistics of the form f_4 (*Test1*, *Test2*; *Reported*, *Mbuti*). 81 82 Formally modeling admixture history We used the *qpAdm* methodology (5) in the 83 ADMIXTOOLS package to estimate the proportions of ancestry in a *Test* population deriving from 84 a mixture of N 'reference' populations by exploiting (but not explicitly modeling) shared genetic 85 drift with a set of 'Outgroup' populations. We set the details: YES parameter, which reports a 86 normally distributed Z-score for the fit (estimated with a block jackknife). 87 88 **Hierarchical model of the Indian Cline** We used *qpAdm* as described above to obtain estimates 89 for the proportion of Steppe-related, Iranian agriculturalist-related and AASI-related ancestries and 90 their relevant covariance matrices for each population on the Indian cline. We then jointly modeled 91 these estimates using a bivariate normal model (since the three proportions sum to 100%) and

92 inferred the mean and covariance of the two parameters across all samples on the Indian cline using 93 maximum likelihood estimation. Then, using this inferred matrix, we tested whether the cline could

94 be modeled by a mixture of two populations, the *ANI* and the *ASI*, in two ways. First, we examined

95 whether the covariance matrix is singular, implying that knowledge of one estimated proportion of

ancestry of one of the ancestry components revealed knowledge of the other two, as expected in a

- two-way mixture. Second, if we were able to establish that this was the case, we examined the
 difference between the expected and observed ratios of the ancestry proportions of individual
- 99 populations in this generative model obtained from fitting all the populations simultaneously. This
- 100 process resulted in a handful of populations deviating from expectation, as discussed in the main
- 101 text and Supplementary Materials.

102

- 103 Materials and Methods
- Online Tableau Server for visualizing data. 104
- 105 Data S1-S3
- 106

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